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## Fax Transmittal

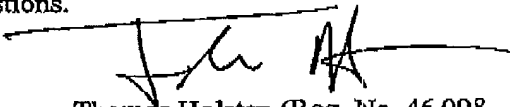
October 3, 2003

RECIPIENT NAME(S)	RECIPIENT FAX NUMBER(S)	RECIPIENT TELEPHONE NUMBER(S)	RECIPIENT ROOM #(S)
Examiner Jeffrey Fredman	(703) 872-9306	(703) 308-6568	
SENDER	SENDER'S TELEPHONE NUMBER	SENDER'S ROOM NUMBER	
Thomas E. Holsten	(202) 942-5085	1195B	
CLIENT/MATTER NUMBER	TIMEKEEPER NUMBER	NUMBER OF PAGE(S)	
16517.008	5230	We are transmitting 10 page(s) (including this cover sheet)	
TRANSMISSION DEADLINE (DATE & TIME)		ALTERNATE TELEPHONE NUMBER (OPTIONAL)	
This document must be transmitted no later than: October 3, 2003/ASAP		Alternate telephone number at which the sender can be reached if there are difficulties with this fax:	
If you experience difficulty receiving this fax transmission, please contact the operator at 202.942.5837.			
<b>MESSAGE</b>			

Re: U.S. Patent Application No. 09/816,660 filed March 26, 2001  
 Applicants: Linda L. LUTFIYYA *et al.*  
 Title: Nucleic Acid Molecules and Other Molecules Associated with Transcription in Plants  
 Atty. Dkt.: 16517.008

Dear Examiner Fredman:

Pursuant to your request, enclosed are copies of the papers filed on July 23, 2003, in the above-identified application, as well as a copy of the receipt postcard bearing the PTO's date stamp of July 23, 2003. Please feel free to contact me if you have any questions.

  
 Thomas Holsten (Reg. No. 46,098)  
 Patent Agent

## PRIVILEGED AND CONFIDENTIAL

Information intended only for the use of the addressee named above. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient, please note that any dissemination, distribution or copying of this communication is strictly prohibited. Anyone who receives this communication in error should notify us immediately by telephone and return the original message to us at the above address via the U.S. Mail.

10/03/03 11:52 FAX 202 942 5211

ARNOLD & PORTER

002

Atty. Docket No: 16517.008/38-21(15300)G	
Date: July 23, 2003	
<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>	
Applicant(s): Linda L. LUTFIYYA <i>et al.</i>	Art Unit: 1646
Appl. No.: 09/816,660	Examiner: To Be Assigned
Filing Date: March 26, 2001	
Title: Nucleic Acid Molecules and Other Molecules Associated with Transcription in Plants	
Attn: Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Sir:	
Please place the U.S. Patent and Trademark Office receipt stamp hereon to acknowledge receipt of the following:	
<ol style="list-style-type: none"><li>1. Transmittal letter (in duplicate);</li><li>2. Petition for Revival of an Unintentionally Abandoned Patent Application under 37 C.F.R. § 1.137(f) and for Express Abandonment under 37 C.F.R. § 1.138(c), including Exhibit A (in duplicate); and</li><li>3. Return postcard.</li></ol>	
Return postcard to: Holly Logue Prutz, Room 1196	

Received from < 202 942 5211 > at 10/3/03 11:51:37 AM [Eastern Daylight Time]

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ARNOLD & PORTER

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Holly Logue Prutz  
Holly\_Prutz@aporter.com202.942.5243  
202.942.5999 Fax555 Twelfth Street, NW  
Washington, DC 20004-1206

July 23, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450RECEIVED  
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OCT 03 2003

**Attn: Mail Stop Petition**

Re: U.S. Patent Application No. 09/816,660  
Filed: March 26, 2001  
Title: Nucleic Acid Molecules and Other Molecules Associated with  
Transcription in Plants  
Applicants: Linda L. LUTFIYYA *et al.*  
Atty. Docket No.: 16517.008/15300G

OFFICIAL

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office (USPTO):

1. Petition for Revival of an Unintentionally Abandoned Patent Application under 37 C.F.R. § 1.137(f) and for Express Abandonment under 37 C.F.R. § 1.138(c), including Exhibit A (in duplicate); and
2. Return postcard.

Please stamp the postcard with the filing date of these documents and return it to our courier.

Applicants request that the following fees be charged to Deposit Account No. 50-2387, referencing docket number 16517.008:

<u>\$1,300.00</u>	Petition to revive unintentionally abandoned application; and
<u>\$ 130.00</u>	Petition for Express Abandonment.

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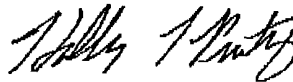
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Commissioner for Patents  
July 23, 2003  
Page 2

Applicants do not believe that any fees other than the \$1,430.00 are due in conjunction with this filing. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. However, if any fees under 37 C.F.R. §§ 1.16 or 1.17 are required in the present application, then the Commissioner is hereby authorized to charge such fees to Arnold & Porter Deposit Account No. 50-2387, referencing matter number 16517.008. A duplicate copy of this letter is enclosed.

Respectfully submitted,



David R. Marsh (Reg. Attorney No. 41,408)  
Holly Logue Prutz (Reg. Attorney No. 47,755)

Attachments

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OCT 03 2003

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Linda L. LUTFIYYA *et al.*

Appl. No.: 09/816,660

Filed: March 26, 2001

Title: **Nucleic Acid Molecules and Other  
Molecules Associated with  
Transcription in Plants**

Art Unit: 1646

Examiner: To Be Assigned

Atty. Docket: 38-21(15300)G

**Petition for Revival of an Unintentionally Abandoned  
Patent Application Under 37 C.F.R. § 1.137(f) and for  
Express Abandonment Under 37 C.F.R. § 1.138(c)**

*Attn: Mail Stop Petition*  
Commissioner for Patents  
P.O. Box 1405  
Alexandria, VA 22313-1450

Sir:

Applicants hereby petition for: (1) revival of the above-captioned U.S. application under 37 C.F.R. § 1.137(f), for purposes of establishing co-pendency with child applications Serial No. (not yet assigned), filed May 14, 2003, naming Thomas J. La Rosa, Linda L. Lutfiyya, Yihua Zhou, David K. Kovalic, Brad Barbazuk, Ping Li, and Wei Wu as inventors and having Attorney Docket No. 38-21(53333)B (the "First Child Application") and Serial No. (not yet assigned), filed July 2, 2003, naming Thomas J. La Rosa, David K. Kovalic, Yihua Zhou, and Youngwei Cao as inventors and having Attorney Docket No. 38-21(53373)A (the "Second Child Application"); and (2) express abandonment of U.S. Application Serial No. 09/816,660 under 37 C.F.R. § 1.138(c) as of the later of the respective filing dates granted to the First Child Application and the Second Child Application, for purposes of avoiding publication of the above-captioned U.S. application. Authorization to charge the official fees for this Petition is given in the accompanying transmittal letter. A duplicate copy of this Petition is enclosed.

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On March 26, 2001, the above-captioned U.S. application was filed with a Request for Non-Publication, which contained a certification under 35 U.S.C. § 122(b)(2)(B)(i), including a statement that the invention "has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing." (Request attached hereto as Exhibit A). Thereafter it was discovered that one or more foreign applications, which may be related to the invention disclosed in the above-captioned U.S. application, were subsequently filed in another country or under a multilateral agreement that requires publication at eighteen months after filing. One such application was filed on December 17, 2001 (the "Foreign Application").<sup>1</sup>

Applicants inadvertently did not notify the Director of the filing of the Foreign Application within 45 days of its filing. Therefore, Applicants believe that the above-captioned U.S. application may be abandoned pursuant to 35 U.S.C. § 122 (b)(2)(B)(iii), because the Foreign Application is directed to one or more nucleic acid or amino acid sequences, or both, that exhibit at least 85% sequence identity over 80% of their length to one or more nucleic acid or amino acid sequences, or both, disclosed in the above-captioned U.S. application.<sup>2</sup>

In light of the above, Applicants hereby explicitly notify the Office of the filing of the Foreign Application on December 17, 2001, pursuant to 35 U.S.C. § 122 (b)(2)(B)(iii). Applicants assert that any delay of providing such notice to the Office was inadvertent.

Applicants hereby petition for revival of U.S. Application Serial No. 09/816,660 under 37 C.F.R. § 1.137(f), for purposes of establishing co-pendency with the above-referenced child applications. Applicants hereby assert that any and all delay in filing the

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<sup>1</sup> Where more than one application was filed in another country or under a multilateral agreement, only the date for the earliest-filed foreign application is recited herein.

<sup>2</sup> Applicants do not assert that nucleic acid sequences or amino acid sequences that exhibit at least 85% identity over 80% of their length either are or are not an "invention disclosed in the application" within the meaning of 35 U.S.C. § 122.

Linda L. LUTFIYYA *et al.*  
Appl. No. 09/816,660  
Page 3

required reply, *i.e.*, notification to the Office of the Foreign Application, from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. § 1.137(b), was unintentional and respectfully request that the above-captioned U.S. application be revived.

Applicants also hereby petition for express abandonment of U.S. Application Serial No. 09/816,660 under 37 C.F.R. § 1.138(c) as of the later of the respective filing dates granted to the First Child Application and the Second Child Application, for purposes of avoiding publication of the above-captioned U.S. application.

The above-captioned U.S. application contains multiple sequences, only some of which meet the criteria such that those sequences may be considered to be the "invention disclosed" within the meaning of 35 U.S.C. § 122. However, in lieu of submitting a redacted copy of the above-captioned U.S. application, provided for under 35 U.S.C. § 122 (b)(2)(B)(v), Applicants have elected to pursue the present course of action of refiling two applications, one containing those sequences which may be disclosed in the Foreign Application without a request for non-publication, and one application containing sequences which were not disclosed in the Foreign Application with a request for non-publication.

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Appl. No. 09/816,660  
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Should the Commissioner require additional information, he is invited to contact the undersigned at the number provided below. The undersigned is an attorney of record, or an attorney acting in a representative capacity for Applicants by virtue of being named in the transmittal papers accompanying the above-referenced child applications, and therefore has authority to petition for abandonment of the above-captioned U.S. application in favor of the above-referenced child applications pursuant to 37 C.F.R. § 1.138(b), 1.33(b), and 1.34(a).

Respectfully submitted,

*Lawrence M. Lavin, Jr.*

Lawrence M. Lavin, Jr. (Reg. No. 30,768)  
by David R. Marsh (Reg. No. 41,408)

Date: July 23, 2003

MONSANTO COMPANY  
800 N. Lindbergh Blvd.  
Mailzone N2NB  
St. Louis, MO 63167  
(314) 694-3602 telephone  
(314) 694-1671 facsimile

*by*  
*David R. Marsh*



COPY

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office, U. S. DEPARTMENT OF COMMERCE

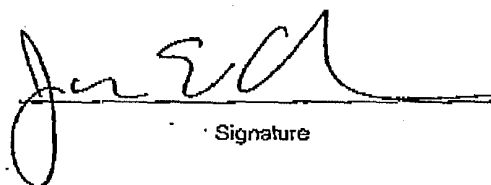
Under the Paperwork Reduction Act of 1995, no portions are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Linda L. LUTFIYYA
	Title	Nucleic Acid Molecules and Other Molecules Associated with Transcription in Plants
	Atty Docket Number	4983.238.01/38-21(15300)G

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 26, 2001

Date



Signature

June E. Cohan (Reg. No. 43,741)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(s). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 8 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.